

RHT Compliance Solutions

Thought Leadership



REGULATORY / COMPLIANCE TRAINING ENGAGEMENTS

- + Organised a Compliance Roundtable "The Singapore Variable Capital Company (S-VACC), Companies (Amendment Bill), and LLP (Amendment) Bill", 7 Apr 2017
- + Organised a Compliance Roundtable "MAS Consultation Paper on Proposed Regulatory Regime for VC Funds", 1 Mar 2017
- + Corporate Service Providers Conference 2017, organised by SAICSA - Moderated a session on AML/CFT Risk Management, 26 May 2017
- + ASEAN Regulatory Summit 2017 by Thomson Reuters - Moderated a session on BSI Bank, trade based money laundering, financial crime and AML/CFT regulations, 9 May 2017
- + 1st Asia InsurTech Summit "Riding the InsurTech Wave" - Presented on complying with regulations, overcoming regulatory hurdles and navigating regulations, 26 Apr 2017
- + OJK, BI, World Bank Seminar in Jakarta – Presented on regulatory sandboxes, 27 Mar 2017
- + FPAS Financial Planning Conference 2017 – Presented on AML/CFT compliance and how regulatory awareness and ethical conduct impact a company's performance and the society at large, 10 Mar 2017
- + Co-organized Corporate Transparency Seminar – Moderated a session on new regulatory obligations for companies, 14 Feb 2017
- + EY Fraud Investigation and Dispute Service APAC Leadership Seminar – Presented on regulatory changes and AML enforcement, discussing financial institutions and the regulatory space, 20 Jan 2017
- + Organising a Compliance Roundtable "2016 in Review - Key Issues for Compliance", 5 Dec 2016
- + Spoke at FIX Conference on "Whether your Surveillance Program can get you Off the Hook", 17 Nov 2016
- + Fintech and Innovation Seminar - Moderated a session on whether FinTech platforms should disrupt or collaborate with Banks, 13 Oct 2016
- + Organised Fintech Fiesta - "Surging Ahead on the Fintech Wave", addressing various issues affecting FinTech platforms in the region (180 attendees), 5 Oct 2016
- + Organised Compliance Summit 2016 - "Getting Smart and Getting Tough", addressing various topical regulatory and compliance issues, including AML/ CFT, anti-corruption, RegTech, asset forfeiture, handling investigations (250 attendees), 22 Sep 2016
- + Organised Compliance Roundtable discussing MAS Consultation Paper on a new proposed activity based Payments Framework and establishment of a National Payments Council, 7 Sep 2016



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- + 2nd ASEAN Regulatory Summit – Event partner with Thomson Reuters, moderated a session on Navigating the Shifting Boundaries of Trade Finance a Risk, and spoke on a panel discussing fostering compliance culture, 1 Sep 2016
- + Organised Compliance Roundtable discussing MAS Consultation Paper newly-issued Guidelines for Outsourcing Risk Management, 18 Aug 2016
- + Organised Compliance Roundtable in KL on Bank Negara’s Discussion Paper on Regulatory Sandbox, 15 Aug 2016
- + Asia Anti-Money Laundering Summit - Spoke at a Panel discussing “Special Regulatory and Enforcement Roundtable: Strategies Against White-Collar Crime, Corruption and Money Laundering”, 13 Jul 2016

TRAINING

- + AML/CFT training for Providence Capital Management Pte Ltd, 5 Jun 2017
- + LinkedIn Training for Partners and GOC Leaders, 28 Mar 2017
- + AML/CFT training for BNP Private Banking Team , 21 Sep 2016 & 6 Oct 2016
- + AML training on behalf of RHB for its stakeholders (moneychangers and remittance agents) – Conducted 3-hour training on AML/CFT, 3 Aug 2016
- + Financial Planning Association of Malaysia – Conducted training for registered financial advisors on regulatory compliance, 26 May 2016, Kuala Lumpur
- + RHB Investment Bank – Conducted Training for research analysts for the Malaysia office on confidentiality, data security and market misconduct risks, 5 May 2016, Kuala Lumpur
- + Moneychangers Association of Singapore – Conducted AML/CFT training, 7 Apr 2016
- + Nomura Malaysia - Training for licensed representatives on AML/CFT, 18 Feb 2016, Kuala Lumpur

PUBLICATIONS

- + Regulatory Regime for Managers of Venture Capital Funds.
Uploaded on RHTCS Website. 10 Apr 2017
- + APAC CIO Outlook 2016 - RHTCS is featured as one of 25 promising compliance solutions providers, 26 Aug 2016
- + Thomson Reuters Article on new Payments Regime, August 2016
- + MAS Outsourcing Guidelines, August 2016
- + Proposed Payments Regime Publication, August 2016
- + Taylor Wessing – Sharpened Focus on AML/CFT in Southeast Asia, 28 Jun 2016

MEDIA INTERVIEWS

- + Channel NewsAsia – Singapore Tonight and Live News, Nizam Ismail was interviewed on MAS enforcement actions against UOB and Credit Suisse, in connection with 1MDB, 30 May 2017
- + Channel NewsAsia – Singapore Tonight and Live News, Nizam Ismail was interviewed on MAS warning to investors on the risks of trading binary options on unregulated platform, 14 March 2017
- + Channel NewsAsia – Singapore Tonight and Live News, Nizam Ismail was interviewed on live broadcast on closure of Falcon Bank, 11 Oct 2016
- + Channel NewsAsia – Asian Business Morning Show, Nizam Ismail was interviewed on Fintech and Regulations, 6 Oct 2016
- + Channel NewsAsia – Sunday Night Show, Nizam Ismail interviewed on Indonesia tax amnesty programme, 3 Oct 2016
- + Channel NewsAsia – Live News, Nizam Ismail interviewed on Indonesia tax amnesty programme and the filing of STRs, 16 Sep 2016
- + Channel NewsAsia – Singapore Tonight and Prime Time Asia, RHT Compliance Solutions shared our thoughts on the BSI bank closure matter, 25 May 2016
- + The Straits Times Singapore – RHT Compliance Solutions shared our thoughts on what’s next in relation to the investigations done by MAS and CAD on private banks in Singapore, relating to 1 MDB, 4 Feb 2016